

OCT 31 2003

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: WU et al.

Examiner: Unknown

Serial No.: 10/014218

Group Art Unit: 2877

Filed: October 22, 2001

Docket No.: 00980.1079-US-01

Title: LIGHT FREQUENCY STABILIZER

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on October 29, 2003.

Iain A. McIntyre

Name

Signature

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

- Supplemental Information Disclosure Statement, Form 1449, copies of twenty (20) references
- Transmittal Sheet
- Return postcard

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC  
Customer No. 22865

Date: October 29, 2003

By:

*Iain A. McIntyre*  
Iain A. McIntyre  
Reg. No. 40,337  
IAM/mar

Serial No. 10/014218



PATENT

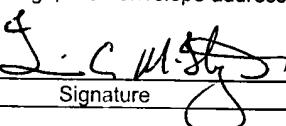
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER  
37 C.F.R. § 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant(s) respectfully submit(s) the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This Information Disclosure Statement is being filed within three months of the filing of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d); within three months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application; before the mailing date of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. 1.114. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §.1.98(d).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicant(s) do(es) not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicant(s) request(s) that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

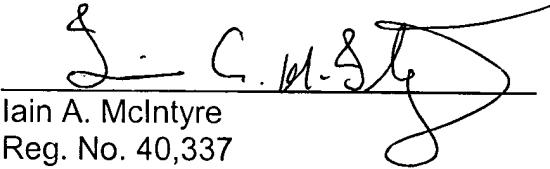
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Respectfully submitted,

Altera Law Group, LLC  
Customer No. 22865

Date: October 29, 2003

By:

  
Iain A. McIntyre  
Reg. No. 40,337  
IAM/vlb

OCT 3 1 2003		Docket Number 00980.1079-US-01	Serial Number 10/014218
INFORMATION DISCLOSURE STATEMENT PTO Form 1449			
RECEIVED U.S. PATENT AND TRADEMARK OFFICE OCT 3 1 2003			
Applicant(s) WU et al.		Filing Date 10/22/2001	
Group Art Unit 2877			

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (IF APPROPRIATE)
		4,661,738	04/28/87	Skeie			
		4,685,773	08/11/87	Carlsen, et al.			
		5,042,898	08/27/91	Morey, et al.			
		5,694,233	12/02/97	Wu, et al.			
		5,724,165	03/03/98	Wu			
		5,867,291	02/02/99	Wu, et al.			
		5,982,488	11/09/99	Shirasaki			
		6,005,995	12/21/99	Chen, et al.			
		6,097,518	08/01/00	Wu, et al.			
		6,201,909	03/13/01	Kewitsch, et al.			
		6,208,444	03/27/01	Wong, et al.			
		6,236,507	05/22/01	Hill, et al.			
		6,400,508	06/04/02	Liu			

## FOREIGN PATENT DOCUMENTS

## OTHER DOCUMENTS

	K. W. Chang, et al., "Polarization Independent Isolator Using Spatial Walkoff Polarizers", <i>IEEE Photonics Technology Letters</i> , 1:3, 68-70 (March 1989).
	S. E. Harris, et al., "Optical Network Synthesis Using Birefringent Crystals.* I. Synthesis of Lossless Networks of Equal-Length Crystals", <i>Journal of the Optical Society of America</i> , 54:10, 1267-1279 (October 1964).
	09/694691, Han et al., filed October 23, 2000, "METHOD AND APPARATUS FOR ADJUSTING AN OPTICAL ELEMENT TO ACHIEVE A PRECISE LENGTH"

Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_